

Report To: Corporate Governance Committee

Date of Meeting: 06 March 2019

Lead Member / Officer: Lisa Lovegrove – Chief Internal Auditor

Report Author: Lisa Lovegrove – Chief Internal Auditor

Title: Internal Audit Update

1. What is the report about?

This report provides an update for Corporate Governance Committee on Internal Audit's latest progress in terms of its service delivery, assurance provision, reviews completed, performance and effectiveness in driving improvement. It also includes an update on progress with the CIPFA Good Practice for Audit Committees.

2. What is the reason for making this report?

To provide information on the work carried out by Internal Audit since the last Committee meeting. It allows the Committee to monitor Internal Audit's performance and progress as well as providing summaries of Internal Audit reports so that the Committee can receive assurance on other Council services and corporate areas.

3. What are the Recommendations?

The Committee considers the report content, assesses Internal Audit's progress and performance, and decides whether it needs any further assurance on audit reports.

That the Committee considers the results of the 2019 survey and how suggestions for improvement can be included in a Development Programme for 2019/20.

4. Report details

Appendix 1 report provides an update as at the end of October 2018 on:

- Internal audit reports recently issued i.e. Housing Rents and Welsh Transport Grants;
- Progress on Internal Audit work to date in 2018-19;
- Progress on implementing agreed actions from assurance projects;
- Update on Internal Audit performance against set standards; and
- Update on progress with the CIPFA Good Practice for Audit Committees.

The results of the recent survey on the effectiveness of the Corporate Governance Committee is summarised in the report within the CIPDA Good Practice for Audit Committees section. The summary focuses on the responses with the most disagreement or uncertainty about the question, which may indicate there is potential for improvement. It is for the Committee to decide what actions need to be included in its development programme using the survey results as a starting point. A further report setting out the development plan will be presented at a future meeting.

Appendix 2 report provides a list of the overdue internal audit actions as at 31 January 2019 as recorded on verto (performance management system), along with their status and the latest service progress updates.

5. How does the decision contribute to the Corporate Priorities?

There is no decision required with this report. There is no direct contribution to the Corporate Priorities, but some projects in the audit plan will review Corporate Priority areas and will provide assurance on their delivery.

6. What will it cost and how will it affect other services?

Not applicable - there is no decision or costs attached to this report.

7. What are the main conclusions of the Well-being Impact Assessment?

Not applicable - this report does not require a decision or proposal for change.

8. What consultations have been carried out with Scrutiny and others?

Not required.

9. Chief Finance Officer Statement

There are no financial implications attached to this report.

10. What risks are there and is there anything we can do to reduce them?

The work of internal audit gives assurance to the council on the adequacy and effectiveness of controls in place to manage and mitigate risks.

11. Power to make the Decision

Not applicable - there is no decision required with this report.